

Submission Data File

| General Information | |
|-----------------------------------|---------------|
| Form Type* | SD |
| Contact Name | M2 Compliance |
| Contact Phone | 754-243-5120 |
| Filer File Number | |
| Filer CIK* | 0001289340 |
| Filer CCC* | ***** |
| Confirming Copy | No |
| Notify via Website only | No |
| Return Copy | Yes |
| Rule 13p1 | Yes |
| Rule 13p1 Item 1.01 | Yes |
| Rule 13p1 Item 1.01 Period* | 12-31-2025 |
| Rule 13p1 Item 1.02 | Yes |
| Rule 13p1 Item 1.02 Period* | 12-31-2025 |
| Rule 13q1 | No |
| Rule 13q1 Item 2.01 | No |
| Rule 13q1 Item 2.01 Period | |
| Resource Extraction Issuer | |
| (End General Information) | |

| Document Information | |
|----------------------------|------------|
| File Count* | 2 |
| Document Name 1* | formsd.htm |
| Document Type 1* | SD |
| Document Description 1 | SD |
| Document Name 2* | ex1-01.htm |
| Document Type 2* | EX-1.01 |
| Document Description 2 | EX-1.01 |
| (End Document Information) | |

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549

FORM SD
Specialized Disclosure Report

Stereotaxis, Inc.

(Exact name of registrant as specified in its charter)

Delaware
(State or other jurisdiction of
incorporation or organization)

001-36159
(Commission
File Number)

94-3120386
(IRS Employer
Identification No.)

710 N. Tucker Blvd, Suite 110, St. Louis, MO
(Address of principal executive offices)

63101
(Zip Code)

Patricia S. Williams, 314-678-6100

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed, and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2025.

Section 1 – Conflict Minerals Disclosure

Item 1.01 Conflict Minerals Disclosure and Report

This Specialized Disclosure Report on Form SD (“Form SD”) of Stereotaxis, Inc. (“Stereotaxis”, the “Company” or “we”) for the reporting period from January 1 to December 31, 2025, is filed pursuant to Rule 13p-1 under the Securities Exchange Act of 1934 (the “Rule”). Capitalized terms not otherwise defined herein are defined in the Rule.

Stereotaxis evaluated its products and determined that our products contain “necessary conflict minerals.” This means that Conflict Minerals as defined in the Rule are present in products we manufacture or contract to manufacture and are used to achieve the required function, use or purpose of those products. Our determination and related disclosures relating to such necessary conflict minerals are included in Stereotaxis’ Conflict Minerals Report and are incorporated by reference herein.

Item 1.02 Exhibit

The Company has filed a Conflict Minerals Report as Exhibit 1.01 to this Form SD. Stereotaxis’ Conflict Minerals Report is available at <http://www.stereotaxis.com/legal/>. The website and the information accessible through it are not incorporated into this Form SD.

Section 2 - Exhibits

Item 2.01 Exhibits

Exhibit 1.01 [Stereotaxis, Inc. Conflict Minerals Report for the reporting period January 1 to December 31, 2025](#)

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

STEREOTAXIS, INC.
(Registrant)

By: /s/ Patricia S. Williams
Name: Patricia S. Williams
Title: Secretary

May 29, 2026
(Date)

Exhibit 1.01

**Stereotaxis, Inc.
Conflict Minerals Report
For The Year Ended December 31, 2025**

Introduction

This Conflict Minerals Report for Stereotaxis, Inc. (“Stereotaxis”, “we,” “us,” and “our”) is for the period from January 1 to December 31, 2025 and is presented to comply with Rule 13p-1 under the Securities Exchange Act of 1934 (“Rule”). Terms used in this report are defined in the Rule and Form SD. Refer to those sources and to SEC Release No. 34-67716 issued by the Securities and Exchange Commission on August 22, 2012 for such definitions.

Stereotaxis designs, manufactures and markets robotic systems, instruments and information systems for the interventional laboratory. Our proprietary robotic technology, Robotic Magnetic Navigation, fundamentally transforms endovascular interventions using precise computer-controlled magnetic fields to directly control the tip of flexible interventional catheters or devices. Direct control of the tip of an interventional device, in contrast to all manual hand-held devices that are controlled from their handle, can improve the precision, stability, reach and safety of these devices during procedures.

Our primary products include the Genesis RMN and the GenesisX RMN Systems, the Synchrony & SynX Solutions, various interventional devices under the Map-iT, MAGiC and EMAGIN brands, and other related devices. Through our strategic relationships with fluoroscopy system manufacturers, providers of catheters and electrophysiology mapping systems, and other parties, we offer our customers x-ray systems and other accessory diagnostic and therapeutic devices.

The Genesis RMN and the GenesisX RMN Systems are designed to enable physicians to complete complex interventional procedures by providing image-guided delivery of catheters through the blood vessels and chambers of the heart to treatment sites. This is achieved using externally applied magnetic fields that govern the motion of the working tip of the catheter, resulting in improved navigation, efficient procedures, and reduced x-ray exposure. The GenesisX RMN System, the latest generation of the Genesis RMN System, is designed to enhance the accessibility of Robotic Magnetic Navigation by reducing the lengthy construction cycle necessary to install prior generation RMN systems.

The Synchrony system is designed to digitize and modernize the interventional catheter lab. Synchrony’s ultra-high-definition display consolidates the viewing and control of all disparate systems in the lab, offering an enhanced procedure experience with custom layouts, streamlined workflows, an intuitive user interface, and a decluttered environment. Synchrony digitizes the video streams with full fidelity and ultra-low latency, offering crystal-clear visualization. Its architecture allows obsolescence protection for labs as new technologies are introduced in the future. Synchrony is made available with SynX, a cloud-based HIPAA and GDPR-compliant app that allows for secure remote connectivity, collaboration, recording, and monitoring of the cath lab. These technologies are sold alongside RMN systems and as stand-alone solutions.

This Report describes the process and results of Stereotaxis’ Conflict Minerals program. Stereotaxis performed a Reasonable Country of Origin Inquiry (RCOI) to determine the source of necessary conflict minerals in our products, and in accordance with the Rule then undertook due diligence measures on the source and chain of custody of those necessary conflict minerals. The purpose of this due diligence was to determine if any of the necessary conflict minerals originated from the Democratic Republic of the Congo (“DRC”) or an adjoining country (collectively defined as the “Covered Countries”) and may not have come from recycled or scrap sources, as part of determining whether such products may be considered “DRC conflict free.” Stereotaxis does not directly source minerals or transact with smelters or refiners.

Consistent with the provisions of the Rule, this Conflict Minerals Report has not been audited by an independent private sector auditor.

Design of Conflict Minerals Program and Due Diligence Process

Stereotaxis has a Conflict Minerals program designed to be in conformance with the Organisation for Economic Co-operation and Development Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, 3rd Edition, including the Supplement on Tin, Tantalum and Tungsten, and the Supplement on Gold (collectively, the “OECD Guidance”). In the context of the OECD Guidance Stereotaxis is a “downstream company” in the conflict minerals supply chain. Summarized below are the five due diligence steps taken which align with the OECD Guidance five-step framework:

1. Maintained a strong company management system:

- a) We have a Conflict Minerals Policy. It can be found on our website at <http://www.stereotaxis.com/legal/>.
- b) We have an internal cross-functional Conflict Minerals team that oversees the implementation of our Conflict Minerals program. The status of our Conflict Minerals program is reviewed with senior management. We maintain records relating to our Conflict Minerals program.
- c) We have a system of controls and transparency over our supply chain using the industry-standard Conflict Minerals Reporting Template (“CMRT”), which is a supply chain survey designed to identify the maturity of our suppliers’ conflict minerals programs and identify the smelters and refiners that process the necessary conflict minerals contained in their products.
- d) We have informed our suppliers of our company policy, and our commitment to be aligned with DRC conflict-free sourcing principles. Where suppliers are unaware of conflict minerals regulations or have an immature process, we seek to educate them.
- e) We have a company-level grievance mechanism whereby anyone can confidentially call or email a concern or report a violation of a policy. Refer to the Stereotaxis Code of Conduct Handbook in the Ethics and Commitment section of our website at <http://www.stereotaxis.com/legal/>.

2. Identified and assessed risks in the conflict minerals supply chain:

- a) We identified conflict minerals risks in our supply chain:
 - We identified all direct suppliers that supply us with products that might contain Conflict Minerals intentionally added and necessary for the functionality of those products.
 - We conducted a Reasonable Country of Origin Inquiry (RCOI) with relevant suppliers of parts and materials used in products manufactured by Stereotaxis.
 - We requested our direct suppliers provide us with a completed industry-standard CMRT. The CMRT includes a section identifying evidence of an active corporate conflict minerals program/policy by each respective supplier, as well as a list of Smelters or Refiners in the supplier’s conflict mineral supply chain.
 - We followed up with unresponsive suppliers, making multiple attempts to obtain information.
 - We reviewed CMRTs and any other documentation provided by our suppliers for completeness and reasonableness. We categorized suppliers according to the responses provided.
 - b) We assessed risks of adverse impacts by actively working to discontinue sourcing products from upstream suppliers when we identified a reasonable risk that they are sourcing from, or linked to any party committing serious abuses, consistent with Annex II of the OECD Guidance.
-

3. Designed and implemented a strategy to respond to identified risks:

- a) We identified the results of our RCOI and identified high risk suppliers to senior management.
- b) We have a risk management plan that includes seeking to reduce or eliminate high risk suppliers.
- c) We have an ongoing risk reduction and management program, which includes a goal that all future product designs are DRC conflict free, periodically reviewing and updating the conflict minerals status of our existing products and striving over time to reduce or eliminate non-conflict-free minerals from the supply chain.
- d) We monitored, and will continue to monitor changes in supplier conflict minerals status, as circumstances change with suppliers and as conflict minerals status ripples through the supply chain to end item manufacturers such as Stereotaxis.

4. Carried out independent third-party audit of supply chain due diligence at identified points in the supply chain:

- a) We engage a third-party which has a comprehensive Verification, Outreach and Due Diligence Process which provides us with detailed information and audit results related to the smelters or refiners in our supply chain.

5. Reported on supply chain due diligence:

- a) We publicly communicated our Conflict Minerals Sourcing Policy on our company website at <http://www.stereotaxis.com/legal/>.
- b) We publicly disclosed this Conflict Minerals Report on our company website at <http://www.stereotaxis.com/legal/>.
- c) As allowed by the Rule, obtaining an independent private sector audit of this Report was not required.

The content of any website referred to in this Report is included for general information only and is not incorporated by reference in this Report.

Reasonable Country of Origin Inquiry Results

Following the Conflict Minerals program described above, Stereotaxis identified the suppliers and original equipment manufacturers in our direct supply chain who provide us with components, materials and assemblies where Conflict Minerals are present and necessary to functionality, or for which we were unsure whether the materials or components contained Conflict Minerals. We performed a RCOI with 139 suppliers and requested each of them to supply us with a CMRT. 83 of those suppliers provided us with a CMRT (an approximately 60% response rate). The incomplete response rate is a limitation on the comprehensiveness of our smelter identification and due diligence findings.

The CMRTs we received contained a large number of smelter or refiner names for the facilities these suppliers and their upstream suppliers use to process Conflict Minerals, as well as the specific Conflict Mineral(s) used in their products. After correction, review, and removal of duplicate or alternate names, and elimination of entities we determined were not actually smelters or refiners, and elimination of entities for which we were unable to determine if they were a relevant smelter or refiner, we identified 360 unique smelters.

Stereotaxis expects our suppliers to provide CMRTs that represent their good faith effort to document their supply chain. We do not audit our suppliers' process for doing RCOI, due diligence, or correctly reporting smelters in their supply chain. Therefore, the Stereotaxis smelter list, which is derived from all our suppliers' smelter lists, could contain inaccuracies as a result. As nearly all of our suppliers report their supply chain at a company level, and not at the product level, they may be reporting to Stereotaxis via their CMRTs smelters or refiners that are not in the supply chain for the actual products that Stereotaxis procures from them, leading to the potential for identifying smelters or refiners that are not actually in our supply chain for the products we procure. Industry-wide limitations in CMRT data, including upstream opacity and inconsistent supplier maturity, inherently constrain the precision of smelter identification.

Of the 360 identified smelters or refiners in our supply chain, our analysis leads us to believe that:

- 43 smelters are known to source, or there is reason to believe they may source, Conflict Minerals from the Covered Countries.
- 76 smelters have unknown countries of origin for their minerals, and are also not certified as conformant. Therefore, we cannot, at this time, determine whether or not any of those smelters might have sourced Conflict Minerals from the Covered Countries.

In accordance with the SEC final rules for Conflict Minerals sourced from Covered Countries, because some smelters in our supply chain were identified as sourcing from Covered Countries, Stereotaxis is required to exercise, and has exercised, due diligence on the Conflict Minerals' source and chain of custody. Additionally, Stereotaxis is required to follow, and has followed, a nationally or internationally recognized due diligence framework (specifically the OECD Guidance) and report the results thereof in a Conflict Minerals report.

Due Diligence Process Results

Of the 43 smelters in our supply chain that are known to source Conflict Minerals from the Covered Countries, Stereotaxis' due diligence review indicates:

- 37 smelters are classified as RMAP-Conformant, meaning they have successfully completed an independent third-party audit under the Responsible Minerals Assurance Process (RMAP) administered by the Responsible Minerals Initiative (RMI). These smelters are considered conformant with the OECD Due Diligence Guidance. In addition, 6 of these 37 smelters also hold London Bullion Market Association (LBMA) Responsible Gold Certifications.
- 1 tungsten smelter is classified as RMAP-Active, indicating that it is currently participating in the RMAP audit program but has not yet completed the assessment or achieved conformance.
- 5 smelters are not enrolled in any recognized responsible sourcing audit program, and we have no information indicating that they are pursuing RMAP conformance at this time.

Based on supplier responses to our RCOI and the results of our due diligence, we were unable to determine that all smelters identified by our suppliers are RMAP-Conformant or otherwise free of risk associated with sourcing from the Covered Countries.

Stereotaxis did not terminate any supplier relationship solely due to the supplier's sourcing of Conflict Minerals from the Covered Countries, as we support responsible mineral trade in the DRC region.

Product Determination

Based on the responses received from our suppliers as part of our RCOI and due diligence efforts, we were not able to confirm the complete identification of all smelters in our supply chain, nor determine that all smelters reported by our suppliers are RMAP-Conformant or otherwise free of all risk associated with sourcing from the Covered Countries.

Facility and Mine Information

The table below identifies the smelter or refiner facilities that, to the extent known as a result of our RCOI process, may have processed the necessary conflict minerals contained in our products. A "Yes" entry in the rightmost column indicates the smelter or refiner has been designated as RMAP-Conformant by an independent third-party audit program.

| <u>Metal</u> | <u>Smelter or Refiner Name</u> | <u>RMI Smelter ID</u> | <u>Smelter Or Refiner Location</u> | <u>Conformant Smelter</u> |
|--------------|---|-----------------------|------------------------------------|---------------------------|
| Gold | 8853 S.p.A. | CID002763 | Italy | Yes |
| Gold | ABC Refinery Pty Ltd. | CID002920 | Australia | Yes |
| Gold | Abington Reldan Metals, LLC | CID002708 | United States Of America | Yes |
| Gold | Advanced Chemical Company | CID000015 | United States Of America | Yes |
| Gold | African Gold Refinery (*) | CID003185 | Uganda | |
| Gold | Agosi AG | CID000035 | Germany | Yes |
| Gold | Aida Chemical Industries Co., Ltd. | CID000019 | Japan | Yes |
| Gold | Al Etihad Gold Refinery DMCC | CID002560 | United Arab Emirates | Yes |
| Gold | Albino Mountinho Lda. | CID002760 | Portugal | |
| Gold | Alexy Metals | CID003500 | United States Of America | |
| Gold | Almalyk Mining and Metallurgical Complex (AMMC) | CID000041 | Uzbekistan | Yes |
| Gold | AngloGold Ashanti Corrego do Sitio Mineracao | CID000058 | Brazil | Yes |
| Gold | Argor-Heraeus S.A. | CID000077 | Switzerland | Yes |
| Gold | ASAHI METALFINE, Inc. | CID000082 | Japan | Yes |
| Gold | Asahi Refining Canada Ltd. | CID000924 | Canada | Yes |
| Gold | Asahi Refining USA Inc. | CID000920 | United States Of America | Yes |
| Gold | Asaka Riken Co., Ltd. | CID000090 | Japan | Yes |

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Smelter |
|--------------|---|-----------------------|------------------------------------|---------------------------|
| Gold | Atasay Kuyumculuk Sanayi Ve Ticaret A.S. | CID000103 | Turkey | |
| Gold | Attero Recycling Pvt Ltd | CID004697 | India | |
| Gold | AU Traders and Refiners | CID002850 | South Africa | |
| Gold | Augmont Enterprises Private Limited | CID003461 | India | |
| Gold | Aurubis AG | CID000113 | Germany | Yes |
| Gold | Bangalore Refinery | CID002863 | India | Yes |
| Gold | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | CID000128 | Philippines | Yes |
| Gold | Boliden Mineral AB (Ronnskar) | CID000157 | Sweden | Yes |
| Gold | C. Hafner GmbH + Co. KG | CID000176 | Germany | Yes |
| Gold | Caridad | CID000180 | Mexico | |
| Gold | Cendres + Metaux S.A. | CID000189 | Switzerland | Yes |
| Gold | CGR Metalloys Pvt Ltd. | CID003382 | India | |
| Gold | Chimet S.p.A. | CID000233 | Italy | Yes |
| Gold | Chugai Mining | CID000264 | Japan | Yes |
| Gold | Coimpa Industrial LTDA | CID004010 | Brazil | Yes |
| Gold | Daye Non-Ferrous Metals Mining Ltd. | CID000343 | China | Yes |
| Gold | Degussa Sonne / Mond Goldhandel GmbH | CID002867 | Germany | |
| Gold | Dijllah Gold Refinery FZC | CID003348 | United Arab Emirates | |
| Gold | Dongwu Gold Group | CID003663 | China | Yes |
| Gold | Dowa | CID000401 | Japan | Yes |
| Gold | DSC (Do Sung Corporation) | CID000359 | Korea, Republic Of | Yes |
| Gold | Eco-System Recycling Co., Ltd. East Plant | CID000425 | Japan | Yes |
| Gold | Eco-System Recycling Co., Ltd. North Plant | CID003424 | Japan | Yes |
| Gold | Eco-System Recycling Co., Ltd. West Plant | CID003425 | Japan | Yes |
| Gold | Elite Industech Co., Ltd. | CID004755 | Taiwan, Province Of China | Yes |
| Gold | Emerald Jewel Industry India Limited (Unit 1) | CID003487 | India | |
| Gold | Emerald Jewel Industry India Limited (Unit 2) | CID003488 | India | |
| Gold | Emerald Jewel Industry India Limited (Unit 3) | CID003489 | India | |
| Gold | Emerald Jewel Industry India Limited (Unit 4) | CID003490 | India | |
| Gold | Emirates Gold DMCC | CID002561 | United Arab Emirates | |
| Gold | Fidelity Printers and Refiners Ltd. | CID002515 | Zimbabwe | |
| Gold | Fujairah Gold FZC | CID002584 | United Arab Emirates | |
| Gold | GG Refinery Ltd. | CID004506 | Tanzania, United Republic Of | Yes |
| Gold | GGC Gujrat Gold Centre Pvt. Ltd. | CID002852 | India | |
| Gold | Glencore Canada Corporation - CCR Refinery | CID000185 | Canada | Yes |
| Gold | Gold by Gold Colombia | CID003641 | Colombia | Yes |
| Gold | Gold Coast Refinery | CID003186 | Ghana | |

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Smelter |
|--------------|---|-----------------------|------------------------------------|---------------------------|
| Gold | Gold Corporation - The Perth Mint | CID002030 | Australia | Yes |
| Gold | Great Wall Precious Metals Co., Ltd. of CBPM | CID001909 | China | Yes |
| Gold | Guangdong Jinding Gold Limited | CID002312 | China | |
| Gold | Guoda Safina High-Tech Environmental Refinery Co., Ltd. | CID000651 | China | |
| Gold | Hangzhou Fuchunjiang Smelting Co., Ltd. | CID000671 | China | |
| Gold | Heimerle + Meule GmbH | CID000694 | Germany | Yes |
| Gold | Heraeus Germany GmbH Co. KG | CID000711 | Germany | Yes |
| Gold | Heraeus Metals Hong Kong Ltd. | CID000707 | China | Yes |
| Gold | Hunan Guiyang yinxing Nonferrous Smelting Co., Ltd. | CID000773 | China | |
| Gold | HwaSeong CJ CO., LTD. | CID000778 | Korea, Republic Of | |
| Gold | Impala Platinum - Base Metal Refinery (BMR) | CID004604 | South Africa | Yes |
| Gold | Impala Platinum - Platinum Metals Refinery (PMR) | CID004714 | South Africa | Yes |
| Gold | Impala Platinum - Rustenburg Smelter | CID004610 | South Africa | Yes |
| Gold | Industrial Refining Company | CID002587 | Belgium | |
| Gold | Inner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | CID000801 | China | Yes |
| Gold | International Precious Metal Refiners | CID002562 | United Arab Emirates | Yes |
| Gold | Ishifuku Metal Industry Co., Ltd. | CID000807 | Japan | Yes |
| Gold | Istanbul Gold Refinery | CID000814 | Turkey | Yes |
| Gold | Italpreziosi | CID002765 | Italy | Yes |
| Gold | JALAN & Company | CID002893 | India | |
| Gold | Japan Mint | CID000823 | Japan | Yes |
| Gold | Jiangxi Copper Co., Ltd. | CID000855 | China | Yes |
| Gold | JSC Ekaterinburg Non-Ferrous Metal Processing Plant | CID000927 | Russian Federation | |
| Gold | JSC Novosibirsk Refinery | CID000493 | Russian Federation | |
| Gold | JSC Uralelectromed | CID000929 | Russian Federation | |
| Gold | JX Advanced Metals Corporation | CID000937 | Japan | Yes |
| Gold | K.A. Rasmussen | CID003497 | Norway | |
| Gold | Kaloti Precious Metals | CID002563 | United Arab Emirates | |
| Gold | Kazakhmys Smelting LLC | CID000956 | Kazakhstan | |
| Gold | Kazzinc Ltd | CID000957 | Kazakhstan | Yes |
| Gold | Kennecott Utah Copper LLC | CID000969 | United States Of America | Yes |
| Gold | KGHM Polska Miedz Spolka Akcyjna | CID002511 | Poland | Yes |
| Gold | Kojima Chemicals Co., Ltd. | CID000981 | Japan | Yes |
| Gold | Korea Zinc Co., Ltd. | CID002605 | Korea, Republic Of | Yes |
| Gold | Kundan Care Products Ltd. | CID003463 | India | |
| Gold | Kyrgyzaltyn JSC | CID001029 | Kyrgyzstan | Yes |
| Gold | Kyshtym Copper-Electrolytic Plant ZAO | CID002865 | Russian Federation | |

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Smelter |
|--------------|---|-----------------------|------------------------------------|---------------------------|
| Gold | L'azurde Company For Jewelry | CID001032 | Saudi Arabia | |
| Gold | Lingbao Gold Co., Ltd. | CID001056 | China | |
| Gold | Lingbao Jinyuan Tonghui Refinery Co., Ltd. | CID001058 | China | |
| Gold | L'Orfebvre S.A. | CID002762 | Andorra | |
| Gold | LS MnM Inc. | CID001078 | Korea, Republic Of | Yes |
| Gold | LT Metal Ltd. | CID000689 | Korea, Republic Of | Yes |
| Gold | Luoyang Zijin Yinhui Gold Refinery Co., Ltd. | CID001093 | China | |
| Gold | Marsam Metals | CID002606 | Brazil | |
| Gold | Materion | CID001113 | United States Of America | Yes |
| Gold | Matsuda Sangyo Co., Ltd. | CID001119 | Japan | Yes |
| Gold | MD Overseas | CID003548 | India | |
| Gold | Metal Concentrators SA (Pty) Ltd. | CID003575 | South Africa | Yes |
| Gold | Metallix Refining Inc. | CID003557 | United States Of America | |
| Gold | Metalor Technologies (Hong Kong) Ltd. | CID001149 | China | Yes |
| Gold | Metalor Technologies (Singapore) Pte., Ltd. | CID001152 | Singapore | Yes |
| Gold | Metalor Technologies (Suzhou) Ltd. | CID001147 | China | Yes |
| Gold | Metalor Technologies S.A. | CID001153 | Switzerland | Yes |
| Gold | Metalor USA Refining Corporation | CID001157 | United States Of America | Yes |
| Gold | Metalurgica Met-Mex Penoles S.A. De C.V. | CID001161 | Mexico | Yes |
| Gold | Minera Titan del Peru SRL (MTP) - Belen Plant | CID005014 | Peru | |
| Gold | Mitsubishi Materials Corporation | CID001188 | Japan | Yes |
| Gold | Mitsui Mining and Smelting Co., Ltd. | CID001193 | Japan | Yes |
| Gold | MKS PAMP SA | CID001352 | Switzerland | Yes |
| Gold | MMTC-PAMP India Pvt., Ltd. | CID002509 | India | Yes |
| Gold | Modeltech Sdn Bhd | CID002857 | Malaysia | |
| Gold | Morris and Watson | CID002282 | New Zealand | |
| Gold | Moscow Special Alloys Processing Plant | CID001204 | Russian Federation | |
| Gold | Nadir Metal Rafineri San. Ve Tic. A.S. | CID001220 | Turkey | Yes |
| Gold | Navoi Mining and Metallurgical Combinat | CID001236 | Uzbekistan | Yes |
| Gold | NH Recytech Company | CID003189 | Korea, Republic Of | Yes |
| Gold | Nihon Material Co., Ltd. | CID001259 | Japan | Yes |
| Gold | NOBLE METAL SERVICES | CID003690 | United States Of America | |
| Gold | Ogussa Oesterreichische Gold- und Silber-Scheideanstalt Gesm.b.H. | CID002779 | Austria | Yes |
| Gold | Ohura Precious Metal Industry Co., Ltd. | CID001325 | Japan | Yes |
| Gold | OJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) | CID001326 | Russian Federation | |
| Gold | Pease & Curren | CID002872 | United States Of America | |
| Gold | Penglai Penggang Gold Industry Co., Ltd. | CID001362 | China | |
| Gold | Planta Recuperadora de Metales SpA | CID002919 | Chile | Yes |

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Smelter |
|--------------|--|-----------------------|------------------------------------|---------------------------|
| Gold | Prioksky Plant of Non-Ferrous Metals | CID001386 | Russian Federation | |
| Gold | PT Aneka Tambang (Persero) Tbk | CID001397 | Indonesia | Yes |
| Gold | PX Precinox S.A. | CID001498 | Switzerland | Yes |
| Gold | QG Refining, LLC | CID003324 | United States Of America | |
| Gold | Rand Refinery (Pty) Ltd. | CID001512 | South Africa | Yes |
| Gold | Refinery of Seemine Gold Co., Ltd. | CID000522 | China | |
| Gold | REMONDIS PMR B.V. | CID002582 | Netherlands | Yes |
| Gold | Royal Canadian Mint | CID001534 | Canada | Yes |
| Gold | SAAMP | CID002761 | France | Yes |
| Gold | Sabin Metal Corp. | CID001546 | United States Of America | |
| Gold | Safimet S.p.A | CID002973 | Italy | Yes |
| Gold | SAFINA A.S. | CID002290 | Czechia | Yes |
| Gold | Sai Refinery | CID002853 | India | |
| Gold | SAM Precious Metals FZ-LLC | CID003666 | United Arab Emirates | Yes |
| Gold | Samduck Precious Metals | CID001555 | Korea, Republic Of | |
| Gold | SAMWON METALS Corp. | CID001562 | Korea, Republic Of | |
| Gold | SEMPSA Joyeria Plateria S.A. | CID001585 | Spain | Yes |
| Gold | Shandong Gold Smelting Co., Ltd. | CID001916 | China | Yes |
| Gold | Shandong Humon Smelting Co., Ltd. | CID002525 | China | |
| Gold | Shandong Tiancheng Biological Gold Industrial Co., Ltd. | CID001619 | China | |
| Gold | Shandong Zhaojin Gold & Silver Refinery Co., Ltd. | CID001622 | China | Yes |
| Gold | Shenzhen CuiLu Gold Co., Ltd. | CID002750 | China | Yes |
| Gold | SHENZHEN JINJUNWEI RESOURCE COMPREHENSIVE DEVELOPMENT CO., LTD. | CID004435 | China | |
| Gold | Shenzhen Zhonghenglong Real Industry Co., Ltd. | CID002527 | China | |
| Gold | Shirpur Gold Refinery Ltd. | CID002588 | India | |
| Gold | Sichuan Tianze Precious Metals Co., Ltd. | CID001736 | China | Yes |
| Gold | Singway Technology Co., Ltd. | CID002516 | Taiwan, Province Of China | |
| Gold | SOE Shyolkovsky Factory of Secondary Precious Metals | CID001756 | Russian Federation | |
| Gold | Solar Applied Materials Technology Corp. | CID001761 | Taiwan, Province Of China | Yes |
| Gold | SOLEIL METALS (Chala One Plant) | CID004704 | Peru | |
| Gold | SOLEIL METALS (YAKARI Plant) | CID004705 | Peru | |
| Gold | Sovereign Metals | CID003383 | India | |
| Gold | State Research Institute Center for Physical Sciences and Technology | CID003153 | Lithuania | |
| Gold | Sudan Gold Refinery | CID002567 | Sudan | |
| Gold | Sumitomo Metal Mining Co., Ltd. | CID001798 | Japan | Yes |
| Gold | SungEel HiMetal Co., Ltd. | CID002918 | Korea, Republic Of | Yes |

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Smelter |
|--------------|--|-----------------------|------------------------------------|---------------------------|
| Gold | Super Dragon Technology Co., Ltd. | CID001810 | Taiwan, Province Of China | |
| Gold | T.C.A S.p.A | CID002580 | Italy | Yes |
| Gold | Tanaka Kikinzoku Kogyo K.K. | CID001875 | Japan | Yes |
| Gold | TITAN COMPANY LIMITED, JEWELLERY DIVISION | CID004491 | India | |
| Gold | Tokuriki Honten Co., Ltd. | CID001938 | Japan | Yes |
| Gold | Tongling Nonferrous Jinguang (Ausmelt) Copper Industry | CID001947 | China | |
| Gold | TOO Tau-Ken-Altyn | CID002615 | Kazakhstan | Yes |
| Gold | Torecom | CID001955 | Korea, Republic Of | |
| Gold | Umicore Precious Metals Thailand | CID002314 | Thailand | |
| Gold | Umicore S.A. Business Unit Precious Metals Refining | CID001980 | Belgium | Yes |
| Gold | United Precious Metal Refining, Inc. | CID001993 | United States Of America | Yes |
| Gold | Valcambi S.A. | CID002003 | Switzerland | Yes |
| Gold | WEEEREFINING | CID003615 | France | |
| Gold | WIELAND Edelmetalle GmbH | CID002778 | Germany | Yes |
| Gold | Yamakin Co., Ltd. | CID002100 | Japan | Yes |
| Gold | Yokohama Metal Co., Ltd. | CID002129 | Japan | Yes |
| Gold | Yunnan Copper Southwest Copper Branch | CID000197 | China | |
| Gold | Zhongyuan Gold Smelter of Zhongjin Gold Corporation | CID002224 | China | Yes |
| Gold | Zijin Mining Group Gold Smelting Co. Ltd. | CID002243 | China | Yes |
| Tantalum | 5D Production OU | CID003926 | Estonia | |
| Tantalum | AMG Brasil | CID001076 | Brazil | Yes |
| Tantalum | D Block Metals, LLC | CID002504 | United States Of America | Yes |
| Tantalum | F&X Electro-Materials Ltd. | CID000460 | China | Yes |
| Tantalum | FIR Metals & Resource Ltd. | CID002505 | China | Yes |
| Tantalum | Global Advanced Metals Aizu | CID002558 | Japan | Yes |
| Tantalum | Global Advanced Metals Boyertown | CID002557 | United States Of America | Yes |
| Tantalum | Guangdong Rising Rare Metals-EO Materials Ltd. | CID000291 | China | Yes |
| Tantalum | Hengyang King Xing Lifeng New Materials Co., Ltd. | CID002492 | China | Yes |
| Tantalum | Jiangxi Dinghai Tantalum & Niobium Co., Ltd. | CID002512 | China | Yes |
| Tantalum | Jiangxi Suns Nonferrous Materials Co. Ltd. | CID004813 | China | |
| Tantalum | Jiangxi Tuohong New Raw Material | CID002842 | China | Yes |
| Tantalum | JiuJiang JinXin Nonferrous Metals Co., Ltd. | CID000914 | China | Yes |
| Tantalum | Jiujiang Tanbre Co., Ltd. | CID000917 | China | Yes |
| Tantalum | Jiujiang Zhongao Tantalum & Niobium Co., Ltd. | CID002506 | China | Yes |
| Tantalum | KEMET de Mexico | CID002539 | Mexico | Yes |
| Tantalum | Materion Newton Inc. | CID002548 | United States Of America | Yes |

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Smelter |
|--------------|---|-----------------------|------------------------------------|---------------------------|
| Tantalum | Metallurgical Products India Pvt., Ltd. | CID001163 | India | Yes |
| Tantalum | Mineracao Taboca S.A. | CID001175 | Brazil | Yes |
| Tantalum | Mitsui Mining and Smelting Co., Ltd. | CID001192 | Japan | Yes |
| Tantalum | Ningxia Orient Tantalum Industry Co., Ltd. | CID001277 | China | Yes |
| Tantalum | NPM Silmet OU | CID001200 | Estonia | Yes |
| Tantalum | PowerX Ltd. | CID004054 | Rwanda | Yes |
| Tantalum | QuantumClean | CID001508 | United States Of America | Yes |
| Tantalum | Resind Industria e Comercio Ltda. | CID002707 | Brazil | Yes |
| Tantalum | RFH Yancheng Jinye New Material Technology Co., Ltd. | CID003583 | China | Yes |
| Tantalum | Solikamsk Magnesium Works OAO | CID001769 | Russian Federation | |
| Tantalum | Taki Chemical Co., Ltd. | CID001869 | Japan | Yes |
| Tantalum | TANIOBIS Co., Ltd. | CID002544 | Thailand | Yes |
| Tantalum | TANIOBIS GmbH | CID002545 | Germany | Yes |
| Tantalum | TANIOBIS Japan Co., Ltd. | CID002549 | Japan | Yes |
| Tantalum | TANIOBIS Smelting GmbH & Co. KG | CID002550 | Germany | Yes |
| Tantalum | Telex Metals | CID001891 | United States Of America | Yes |
| Tantalum | Ulba Metallurgical Plant JSC | CID001969 | Kazakhstan | Yes |
| Tantalum | XIMEI RESOURCES (GUANGDONG) LIMITED | CID000616 | China | Yes |
| Tantalum | XinXing Haorong Electronic Material Co., Ltd. | CID002508 | China | Yes |
| Tantalum | Yanling Jincheng Tantalum & Niobium Co., Ltd. | CID001522 | China | Yes |
| Tin | Alpha Assembly Solutions Inc | CID000292 | United States Of America | Yes |
| Tin | An Vinh Joint Stock Mineral Processing Company | CID002703 | Viet Nam | |
| Tin | Aurubis Beerse | CID002773 | Belgium | Yes |
| Tin | Aurubis Berango | CID002774 | Spain | Yes |
| Tin | Chenzhou Yunxiang Mining and Metallurgy Co., Ltd. | CID000228 | China | Yes |
| Tin | Chifeng Dajingzi Tin Industry Co., Ltd. | CID003190 | China | Yes |
| Tin | China Tin Group Co., Ltd. | CID001070 | China | Yes |
| Tin | CRM Fundicao De Metais E Comercio De Equipamentos Eletronicos Do Brasil Ltda | CID003486 | Brazil | |
| Tin | CRM Synergies EMEA, S.L.U. | CID003524 | Spain | Yes |
| Tin | CV Ayi Jaya | CID002570 | Indonesia | Yes |
| Tin | Dongguan Best Alloys Co., Ltd. | CID000377 | China | Yes |
| Tin | Dongguan CiEXPO Environmental Engineering Co., Ltd. | CID003356 | China | |
| Tin | Dowa | CID000402 | Japan | Yes |
| Tin | Electro-Mechanical Facility of the Cao Bang Minerals & Metallurgy Joint Stock Company | CID002572 | Viet Nam | |

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Smelter |
|--------------|--|-----------------------|------------------------------------|---------------------------|
| Tin | EM Vinto | CID000438 | Bolivia (Plurinational State Of) | Yes |
| Tin | Estanho de Rondonia S.A. | CID000448 | Brazil | Yes |
| Tin | Fabrica Auricchio Industria e Comercio Ltda. | CID003582 | Brazil | Yes |
| Tin | Fenix Metals | CID000468 | Poland | Yes |
| Tin | Gejiu City Fuxiang Industry and Trade Co., Ltd. | CID003410 | China | |
| Tin | Gejiu Kai Meng Industry and Trade LLC | CID000942 | China | |
| Tin | Gejiu Non-Ferrous Metal Processing Co., Ltd. | CID000538 | China | Yes |
| Tin | Gejiu Yunxin Nonferrous Electrolysis Co., Ltd. | CID001908 | China | |
| Tin | Gejiu Zili Mining And Metallurgy Co., Ltd. | CID000555 | China | |
| Tin | Global Advanced Metals Greenbushes Pty Ltd. | CID004754 | Australia | Yes |
| Tin | Guangdong Hanhe Non-Ferrous Metal Co., Ltd. | CID003116 | China | Yes |
| Tin | HuiChang Hill Tin Industry Co., Ltd. | CID002844 | China | Yes |
| Tin | Jiangxi New Nanshan Technology Ltd. | CID001231 | China | |
| Tin | Longnan Chuangyue Environmental Protection Technology Development Co., Ltd | CID004796 | China | |
| Tin | Luna Smelter, Ltd. | CID003387 | Rwanda | Yes |
| Tin | Ma'anshan Weitai Tin Co., Ltd. | CID003379 | China | |
| Tin | Magnu's Minerai's Metais e Ligas Ltda. | CID002468 | Brazil | Yes |
| Tin | Malaysia Smelting Corporation (MSC) | CID001105 | Malaysia | |
| Tin | Malaysia Smelting Corporation Berhad (Port Klang) | CID004434 | Malaysia | Yes |
| Tin | Melt Metais e Ligas S.A. | CID002500 | Brazil | |
| Tin | Metallic Resources, Inc. | CID001142 | United States Of America | Yes |
| Tin | Mineracao Taboca S.A. | CID001173 | Brazil | Yes |
| Tin | Mining Minerals Resources SARL | CID004065 | Congo, Democratic Republic Of The | Yes |
| Tin | Minsur | CID001182 | Peru | Yes |
| Tin | Mitsubishi Materials Corporation | CID001191 | Japan | Yes |
| Tin | Modeltech Sdn Bhd | CID002858 | Malaysia | |
| Tin | Nghe Tinh Non-Ferrous Metals Joint Stock Company | CID002573 | Viet Nam | |
| Tin | Novosibirsk Tin Combine | CID001305 | Russian Federation | |
| Tin | O.M. Manufacturing (Thailand) Co., Ltd. | CID001314 | Thailand | Yes |
| Tin | O.M. Manufacturing Philippines, Inc. | CID002517 | Philippines | Yes |
| Tin | Operaciones Metalurgicas S.A. | CID001337 | Bolivia (Plurinational State Of) | Yes |
| Tin | P Kay Metal, Inc | CID005189 | United States Of America | Yes |
| Tin | Pongpipat Company Limited | CID003208 | Myanmar | |

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Smelter |
|--------------|---|-----------------------|------------------------------------|---------------------------|
| Tin | Precious Minerals and Smelting Limited | CID003409 | India | |
| Tin | PT Aries Kencana Sejahtera | CID000309 | Indonesia | |
| Tin | PT Arsed Indonesia | CID005067 | Indonesia | Yes |
| Tin | PT Artha Cipta Langgeng | CID001399 | Indonesia | |
| Tin | PT ATD Makmur Mandiri Jaya | CID002503 | Indonesia | Yes |
| Tin | PT Bangka Prima Tin | CID002776 | Indonesia | Yes |
| Tin | PT Cipta Persada Mulia | CID002696 | Indonesia | Yes |
| Tin | PT Masbro Alam Stania | CID003380 | Indonesia | |
| Tin | PT Mitra Graha Raya | CID004685 | Indonesia | |
| Tin | PT Mitra Stania Prima | CID001453 | Indonesia | Yes |
| Tin | PT Mitra Sukses Globalindo | CID003449 | Indonesia | Yes |
| Tin | PT Panca Mega Persada | CID001457 | Indonesia | |
| Tin | PT Premium Tin Indonesia | CID000313 | Indonesia | Yes |
| Tin | PT Prima Timah Utama | CID001458 | Indonesia | Yes |
| Tin | PT Putera Sarana Shakti (PT PSS) | CID003868 | Indonesia | Yes |
| Tin | PT Rajehan Ariq | CID002593 | Indonesia | Yes |
| Tin | PT Timah Tbk Kundur | CID001477 | Indonesia | Yes |
| Tin | PT Timah Tbk Mentok | CID001482 | Indonesia | Yes |
| Tin | Resind Industria e Comercio Ltda. | CID002706 | Brazil | Yes |
| Tin | RIKAYAA GREENTECH PRIVATE LIMITED | CID004692 | India | |
| Tin | Rui Da Hung | CID001539 | Taiwan, Province Of China | Yes |
| Tin | Super Ligas | CID002756 | Brazil | Yes |
| Tin | Takehara PVD Materials Plant / PVD Materials Division of MITSUI MINING & SMELTING CO., LTD. | CID004403 | Japan | Yes |
| Tin | Thaisarco | CID001898 | Thailand | Yes |
| Tin | Tin Smelting Branch of Yunnan Tin Co., Ltd. | CID002180 | China | Yes |
| Tin | Tin Technology & Refining | CID003325 | United States Of America | Yes |
| Tin | Tuyen Quang Non-Ferrous Metals Joint Stock Company | CID002574 | Viet Nam | |
| Tin | VQB Mineral and Trading Group JSC | CID002015 | Viet Nam | |
| Tin | White Solder Metalurgia e Mineracao Ltda. | CID002036 | Brazil | Yes |
| Tin | Woodcross Smelting Company Limited | CID004724 | Uganda | Yes |
| Tin | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | CID002158 | China | |
| Tin | Yunnan Yunfan Non-ferrous Metals Co., Ltd. | CID003397 | China | Yes |
| Tungsten | A.L.M.T. Corp. | CID000004 | Japan | Yes |
| Tungsten | ACL Metais Eireli | CID002833 | Brazil | |
| Tungsten | Albasteel Industria e Comercio de Ligas Para Fundicao Ltd. | CID003427 | Brazil | |
| Tungsten | Artek LLC | CID003553 | Russian Federation | |
| Tungsten | Asia Tungsten Products Vietnam Ltd. | CID002502 | Viet Nam | Yes |

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Smelter |
|--------------|--|-----------------------|------------------------------------|---------------------------|
| Tungsten | China Molybdenum Tungsten Co., Ltd. | CID002641 | China | Yes |
| Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd. | CID000258 | China | Yes |
| Tungsten | CNMC (Guangxi) PGMA Co., Ltd. | CID000281 | China | |
| Tungsten | Cronimet Brasil Ltda | CID003468 | Brazil | Yes |
| Tungsten | DONGKUK INDUSTRIES CO., LTD. | CID004060 | Korea, Republic Of | |
| Tungsten | Fujian Xinlu Tungsten Co., Ltd. | CID003609 | China | |
| Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | CID002315 | China | |
| Tungsten | Ganzhou Seadragon W & Mo Co., Ltd. | CID002494 | China | Yes |
| Tungsten | Global Tungsten & Powders LLC | CID000568 | United States Of America | Yes |
| Tungsten | Guangdong Xianglu Tungsten Co., Ltd. | CID000218 | China | Yes |
| Tungsten | H.C. Starck Tungsten GmbH | CID002541 | Germany | Yes |
| Tungsten | HANNAE FOR T Co., Ltd. | CID003978 | Korea, Republic Of | |
| Tungsten | Hubei Green Tungsten Co., Ltd. | CID003417 | China | Yes |
| Tungsten | Hunan Jintai New Material Co., Ltd. | CID000769 | China | |
| Tungsten | Hunan Shizhuyuan Nonferrous Metals Co., Ltd. Chenzhou Tungsten Products Branch | CID002513 | China | |
| Tungsten | Hydrometallurg, JSC | CID002649 | Russian Federation | |
| Tungsten | Japan New Metals Co., Ltd. | CID000825 | Japan | Yes |
| Tungsten | Jiangwu H.C. Starck Tungsten Products Co., Ltd. | CID002551 | China | Yes |
| Tungsten | Jiangxi Gan Bei Tungsten Co., Ltd. | CID002321 | China | Yes |
| Tungsten | Jiangxi Minmetals Gao'an Non-ferrous Metals Co., Ltd. | CID002313 | China | |
| Tungsten | Jiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | CID002318 | China | Yes |
| Tungsten | Jiangxi Xinsheng Tungsten Industry Co., Ltd. | CID002317 | China | Yes |
| Tungsten | Jiangxi Yaosheng Tungsten Co., Ltd. | CID002316 | China | Yes |
| Tungsten | Jing Yuan Tungsten Technology Co., Ltd. | CID005012 | Taiwan, Province Of China | Yes |
| Tungsten | JSC "Kirovgrad Hard Alloys Plant" | CID003408 | Russian Federation | |
| Tungsten | KENEE MINING VIETNAM COMPANY LIMITED | CID004619 | Viet Nam | Yes |
| Tungsten | Kennametal Fallon | CID000966 | United States Of America | Yes |
| Tungsten | Kennametal Huntsville | CID000105 | United States Of America | Yes |
| Tungsten | LAOS SOUTHERN MINING SMELTING SOLE CO.,LTD | CID005017 | Lao People's Democratic Republic | |
| Tungsten | Lianyou Advanced Materials Co., Ltd. | CID004397 | Taiwan, Province Of China | Yes |
| Tungsten | Lianyou Metals Co., Ltd. | CID003407 | Taiwan, Province Of China | Yes |
| Tungsten | LLC Vostok | CID003643 | Russian Federation | |
| Tungsten | MALAMET SMELTING SDN. BHD. | CID004056 | Malaysia | |
| Tungsten | Malipo Haiyu Tungsten Co., Ltd. | CID002319 | China | Yes |
| Tungsten | Masan High-Tech Materials | CID002543 | Viet Nam | Yes |

| <u>Metal</u> | <u>Smelter or Refiner Name</u> | <u>RMI Smelter ID</u> | <u>Smelter Or Refiner Location</u> | <u>Conformant Smelter</u> |
|--------------|--|-----------------------|------------------------------------|---------------------------|
| Tungsten | Moliren Ltd. | CID002845 | Russian Federation | |
| Tungsten | Nam Viet Cromit Joint Stock Company | CID004034 | Viet Nam | |
| Tungsten | Niagara Refining LLC | CID002589 | United States Of America | Yes |
| Tungsten | NPP Tyazhmetprom LLC | CID003416 | Russian Federation | |
| Tungsten | OOO "Technolom" 1 | CID003614 | Russian Federation | |
| Tungsten | OOO "Technolom" 2 | CID003612 | Russian Federation | |
| Tungsten | Philippine Bonway Manufacturing Industrial Corporation | CID004797 | Philippines | Yes |
| Tungsten | Philippine Carreytech Metal Corp. | CID004438 | Philippines | |
| Tungsten | Philippine Chuangxin Industrial Co., Inc. | CID002827 | Philippines | Yes |
| Tungsten | S.P.T. spol.s r.o. | CID005068 | Czechia | Yes |
| Tungsten | Shinwon Tungsten (Fujian Shanghang) Co., Ltd. | CID004430 | China | Yes |
| Tungsten | TANIOBIS Smelting GmbH & Co. KG | CID002542 | Germany | Yes |
| Tungsten | Tungamoy Metals Inc. | CID005248 | Korea, Republic Of | Yes |
| Tungsten | Tungsten Vietnam Joint Stock Company | CID003993 | Viet Nam | Yes |
| Tungsten | Unecha Refractory Metals Plant | CID002724 | Russian Federation | |
| Tungsten | Uzbekistan Technological Metallurgical Complex JSC | CID002660 | Uzbekistan | |
| Tungsten | Wolfram Bergbau und Hutten AG | CID002044 | Austria | Yes |
| Tungsten | Xiamen Tungsten (H.C.) Co., Ltd. | CID002320 | China | Yes |
| Tungsten | Xiamen Tungsten Co., Ltd. | CID002082 | China | Yes |
| Tungsten | YUDU ANSHENG TUNGSTEN CO., LTD. | CID003662 | China | |

(*) Multiple direct suppliers reported the presence of this entity (African Gold Refinery, CID003185) ("AGR") that was sanctioned on March 17, 2022 by the United States Department of Treasury, Office of Foreign Assets Control, in their supply chains. Because of the over-reporting nature of the industry CMRT information collection process, including near-universal reporting at the company level as opposed to at the product level, and the nature of the supply chains and goods, we are unable to confirm whether this, or any other, smelter or refiner is or was active in our supply chain. Stereotaxis has not identified any direct transactions or contractual relationships with AGR and does not knowingly engage with OFAC-sanctioned entities. Stereotaxis has communicated its expectations regarding compliance with applicable sanctions regulations to its suppliers.

Smelters or Refiners That Sourced from the Covered Countries

The table below lists the smelter or refiner facilities which, to the extent known, processed the necessary conflict minerals in our products or products produced by companies in our supply chain that were sourced from the Covered Countries, and hence were subject to due diligence.

The Conformant Certification designations in the table are:

- **LBMA:** The smelter has obtained a Responsible Gold Certification from the London Bullion Market Association (LBMA).
- **RMAP:** The smelter has an active certification or is in the process of renewing their certification as a conformant smelter in the Responsible Minerals Assurance Process (RMAP) from the Responsible Minerals Initiative (RMI).
- **RMAP-Active:** The smelter is actively participating in the Responsible Minerals Assurance Process (RMAP) audit program but has not yet completed the audit or been designated as RMAP-Conformant.

| Metal | Smelter or Refiner Name | RMI Smelter ID | Smelter Or Refiner Location | Conformant Certifications |
|--------------|---|-----------------------|------------------------------------|----------------------------------|
| Gold | Almalyk Mining and Metallurgical Complex (AMMC) | CID000041 | Uzbekistan | LBMA, RMAP |
| Gold | Asaka Riken Co., Ltd. | CID000090 | Japan | RMAP |
| Gold | Glencore Canada Corporation - CCR Refinery | CID000185 | Canada | LBMA, RMAP |
| Gold | Jiangxi Copper Co., Ltd. | CID000855 | China | LBMA, RMAP |
| Gold | Mitsubishi Materials Corporation | CID001188 | Japan | LBMA, RMAP |
| Gold | Nihon Material Co., Ltd. | CID001259 | Japan | LBMA, RMAP |
| Gold | Prioksky Plant of Non-Ferrous Metals | CID001386 | Russian Federation | |
| Gold | Rand Refinery (Pty) Ltd. | CID001512 | South Africa | LBMA, RMAP |
| Gold | Samduck Precious Metals | CID001555 | Korea, Republic Of | |
| Tantalum | AMG Brasil | CID001076 | Brazil | RMAP |
| Tantalum | F&X Electro-Materials Ltd. | CID000460 | China | RMAP |
| Tantalum | Global Advanced Metals Aizu | CID002558 | Japan | RMAP |
| Tantalum | Global Advanced Metals Boyertown | CID002557 | United States Of America | RMAP |
| Tantalum | Guangdong Rising Rare Metals-EO Materials Ltd. | CID000291 | China | RMAP |
| Tantalum | JiuJiang JinXin Nonferrous Metals Co., Ltd. | CID000914 | China | RMAP |
| Tantalum | Jiujiang Tanbre Co., Ltd. | CID000917 | China | RMAP |
| Tantalum | Jiujiang Zhongao Tantalum & Niobium Co., Ltd. | CID002506 | China | RMAP |
| Tantalum | KEMET de Mexico | CID002539 | Mexico | RMAP |
| Tantalum | Materion Newton Inc. | CID002548 | United States Of America | RMAP |
| Tantalum | Ningxia Orient Tantalum Industry Co., Ltd. | CID001277 | China | RMAP |
| Tantalum | Taki Chemical Co., Ltd. | CID001869 | Japan | RMAP |
| Tantalum | TANIOBIS Co., Ltd. | CID002544 | Thailand | RMAP |
| Tantalum | TANIOBIS GmbH | CID002545 | Germany | RMAP |
| Tantalum | TANIOBIS Japan Co., Ltd. | CID002549 | Japan | RMAP |
| Tantalum | TANIOBIS Smelting GmbH & Co. KG | CID002550 | Germany | RMAP |
| Tantalum | Ulba Metallurgical Plant JSC | CID001969 | Kazakhstan | RMAP |
| Tantalum | XIMEI RESOURCES (GUANGDONG) LIMITED | CID000616 | China | RMAP |
| Tin | Aurubis Beerse | CID002773 | Belgium | RMAP |
| Tin | EM Vinto | CID000438 | Bolivia (Plurinational State Of) | RMAP |
| Tin | Magnu's Minerais Metais e Ligas Ltda. | CID002468 | Brazil | RMAP |
| Tin | Malaysia Smelting Corporation (MSC) | CID001105 | Malaysia | |

| <u>Metal</u> | <u>Smelter or Refiner Name</u> | <u>RMI Smelter ID</u> | <u>Smelter Or Refiner Location</u> | <u>Conformant Certifications</u> |
|--------------|--|-----------------------|------------------------------------|----------------------------------|
| Tin | Operaciones Metalurgicas S.A. | CID001337 | Bolivia (Plurinational State Of) | RMAP |
| Tin | Thaisarco | CID001898 | Thailand | RMAP |
| Tin | VQB Mineral and Trading Group JSC | CID002015 | Viet Nam | |
| Tungsten | A.L.M.T. Corp. | CID000004 | Japan | RMAP |
| Tungsten | Asia Tungsten Products Vietnam Ltd. | CID002502 | Viet Nam | RMAP |
| Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd. | CID000258 | China | RMAP |
| Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | CID002315 | China | RMAP - Active |
| Tungsten | H.C. Starck Tungsten GmbH | CID002541 | Germany | RMAP |
| Tungsten | Hydrometallurg, JSC | CID002649 | Russian Federation | |
| Tungsten | Jiangxi Xinsheng Tungsten Industry Co., Ltd. | CID002317 | China | RMAP |
| Tungsten | Xiamen Tungsten (H.C.) Co., Ltd. | CID002320 | China | RMAP |
| Tungsten | Xiamen Tungsten Co., Ltd. | CID002082 | China | RMAP |

Country of Origin

The countries of origin of the Conflict Minerals processed by the smelters and refiners that may be in our supply chain include the following countries:

Gold:

Argentina; Armenia; Australia; Austria; Belgium; Bermuda; Bolivia (Plurinational State Of); Brazil; Burundi; Cambodia; Canada; Chile; China; Colombia; Congo; Congo, Democratic Republic Of The; Cote D'ivoire; Czechia; Djibouti; Ecuador; Egypt; Estonia; Ethiopia; Finland; France; Germany; Ghana; Guinea; Guyana; Hong Kong; Hungary; India; Indonesia; Ireland; Israel; Italy; Japan; Jersey; Kazakhstan; Korea, Republic Of; Kyrgyzstan; Lao People's Democratic Republic; Luxembourg; Madagascar; Malaysia; Mali; Mexico; Mongolia; Mozambique; Myanmar; Namibia; Netherlands; New Zealand; Niger; Nigeria; Papua New Guinea; Peru; Philippines; Poland; Portugal; Russian Federation; Rwanda; Saudi Arabia; Sierra Leone; Singapore; Slovakia; South Africa; Spain; Suriname; Sweden; Switzerland; Taiwan, Province Of China; Tajikistan; Tanzania, United Republic Of; Thailand; Turkey; United Arab Emirates; United Kingdom Of Great Britain And Northern Ireland; United States Of America; Uzbekistan; Viet Nam; Zambia; Zimbabwe.

Tantalum:

Angola; Argentina; Australia; Austria; Belarus; Belgium; Bolivia (Plurinational State Of); Brazil; Burundi; Cambodia; Canada; Central African Republic; Chile; China; Colombia; Congo; Congo, Democratic Republic Of The; Cote D'ivoire; Czechia; Djibouti; Ecuador; Egypt; Estonia; Ethiopia; France; Germany; Ghana; Guinea; Guyana; Hungary; India; Indonesia; Ireland; Israel; Italy; Japan; Jersey; Kazakhstan; Kenya; Korea, Republic Of; Lao People's Democratic Republic; Luxembourg; Madagascar; Malaysia; Mali; Mexico; Mongolia; Mozambique; Myanmar; Namibia; Netherlands; Niger; Nigeria; Peru; Philippines; Poland; Portugal; Russian Federation; Rwanda; Sierra Leone; Singapore; Slovakia; South Africa; South Sudan; Spain; Suriname; Switzerland; Taiwan, Province Of China; Tanzania, United Republic Of; Thailand; Uganda; United Kingdom Of Great Britain And Northern Ireland; United States Of America; Viet Nam; Zambia; Zimbabwe.

Tin:

Angola; Argentina; Australia; Austria; Belgium; Bolivia (Plurinational State Of); Brazil; Burundi; Cambodia; Canada; Central African Republic; Chile; China; Colombia; Congo; Congo, Democratic Republic Of The; Cote D'ivoire; Czechia; Djibouti; Ecuador; Egypt; Estonia; Ethiopia; France; Germany; Guyana; Hong Kong; Hungary; India; Indonesia; Ireland; Israel; Japan; Jersey; Kazakhstan; Kenya; Korea, Republic Of; Kyrgyzstan; Lao People's Democratic Republic; Luxembourg; Madagascar; Malaysia; Mexico; Mongolia; Morocco; Mozambique; Myanmar; Namibia; Netherlands; Nigeria; Papua New Guinea; Peru; Philippines; Poland; Portugal; Russian Federation; Rwanda; Sierra Leone; Singapore; Slovakia; South Africa; South Sudan; Spain; Suriname; Sweden; Switzerland; Taiwan, Province Of China; Tanzania, United Republic Of; Thailand; Turkey; Uganda; United Kingdom Of Great Britain And Northern Ireland; United States Of America; Uzbekistan; Viet Nam; Zambia; Zimbabwe.

Tungsten:

Angola; Argentina; Australia; Austria; Belgium; Bolivia (Plurinational State Of); Brazil; Burundi; Cambodia; Canada; Central African Republic; Chile; China; Colombia; Congo; Congo, Democratic Republic Of The; Cote D'ivoire; Czechia; Djibouti; Ecuador; Egypt; Estonia; Ethiopia; France; Germany; Guinea; Guyana; Hungary; India; Indonesia; Ireland; Israel; Japan; Kazakhstan; Korea, Republic Of; Lao People's Democratic Republic; Luxembourg; Madagascar; Malaysia; Mexico; Mongolia; Mozambique; Myanmar; Namibia; Netherlands; Niger; Nigeria; Papua New Guinea; Peru; Philippines; Portugal; Russian Federation; Rwanda; Sierra Leone; Singapore; Slovakia; South Africa; South Sudan; Spain; Suriname; Switzerland; Taiwan, Province Of China; Tanzania, United Republic Of; Thailand; Uganda; United Kingdom Of Great Britain And Northern Ireland; United States Of America; Viet Nam; Zambia; Zimbabwe.

Steps to be Taken to Mitigate Risk

Stereotaxis plans to continue to work with our suppliers as deemed appropriate to help them understand and satisfy our conflict-free and reporting expectations. We expect suppliers to maintain active conflict minerals programs and to improve the quality and completeness of their CMRT submissions over time. We will continue to monitor the progress of suppliers who report that they are sourcing from non-conformant smelters believed to source conflict minerals from the Covered Countries, work to improve supplier response rates, and continue to evolve our internal due diligence determination process.

Additional Risk Factors

Our conflict minerals disclosure is made in good faith and based on our best efforts. However, other factors could have led to errors in the disclosure. They include, but are not limited to, gaps in supplier data, suppliers providing data at the company level as opposed to at the product level, errors in supplier knowledge, gaps in smelter auditing processes, errors in public data, errors in language translation, and delays in reporting data through the lengthy supply chain that exists between the upstream smelters or refiners and Stereotaxis as an end-item manufacturer. Even with a robust due diligence program, residual risk remains due to the complexity and opacity of global mineral supply chains.

This Specialized Disclosure Report on Form SD contains forward looking statements within the meaning of Section 27A of the Securities Act of 1933 and Section 21E of the Securities Exchange Act of 1934. These statements include any plans or intentions to improve the number and quality of supplier response rates and steps we intend to take to mitigate risk in our supply chain. These forward-looking statements involve risks and uncertainties that could cause actual results to differ materially from those projected. In particular, Stereotaxis' actions and the results of those actions may be affected by: (a) changes in global regulations related to the extraction of and disclosure obligations related to Conflict Minerals; (b) the ability of our direct suppliers to provide accurate information in response to our requests; (c) the availability of alternate sources of materials necessary to the functionality or production of our products on commercially reasonable terms or at all; (d) the ability of certified smelters to meet demand for raw materials; and (e) limits on our ability to unilaterally influence supplier behavior. These forward-looking statements are made as of the date hereof, and Stereotaxis assumes no obligation to update such statements.
